

11-30-00

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PTO/SB/05 (08-00)

Please type a plus sign (+) inside Approved for release through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

CU-2402 TFP

First Inventor

Peter ROHRIG

Title

PACIFIER

Express Mail Label No.

L 698178077

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 10]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
5. Oath or Declaration [Total Pages 3]
- a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
- b. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application,
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Appt. Assoc. Atty.

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information:

Examiner _____

Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

Name

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State

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Zip Code

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Name (Print/Type)

Thomas F. Peterson

Registration No. (Attorney/Agent)

24790

Signature



Date

11/29/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 530.00

Complete if Known

Application Number
 Filing Date
 First Named Inventor
 Examiner Name
 Group Art Unit
 Attorney Docket No.

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

12-0400

Deposit
Account
Name

Ladas & Parry

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

101 710 201 355 Utility filing fee
 106 320 206 160 Design filing fee
 107 490 207 245 Plant filing fee
 108 710 208 355 Reissue filing fee
 114 150 214 75 Provisional filing fee

Fee Paid

355.

SUBTOTAL (1) (\$) 355.

2. EXTRA CLAIM FEES

Total Claims 13 -20** = 0 x Fee from below =
 Independent Claims 1 -3** = 0 x Fee Paid =
 Multiple Dependent = 135.

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20
 102 80 202 40 Independent claims in excess of 3
 104 270 204 135 Multiple dependent claim, if not paid
 109 80 209 40 ** Reissue independent claims over original patent
 110 18 210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 135.

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

105 130 205 65 Surcharge - late filing fee or oath
 127 50 227 25 Surcharge - late provisional filing fee or cover sheet
 139 130 139 130 Non-English specification
 147 2,520 147 2,520 For filing a request for ex parte reexamination
 112 920* 112 920* Requesting publication of SIR prior to Examiner action
 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action
 115 110 215 55 Extension for reply within first month
 116 390 216 195 Extension for reply within second month
 117 890 217 445 Extension for reply within third month
 118 1,390 218 695 Extension for reply within fourth month
 128 1,890 228 945 Extension for reply within fifth month
 119 310 219 155 Notice of Appeal
 120 310 220 155 Filing a brief in support of an appeal
 121 270 221 135 Request for oral hearing
 138 1,510 138 1,510 Petition to institute a public use proceeding
 140 110 240 55 Petition to revive - unavoidable
 141 1,240 241 620 Petition to revive - unintentional
 142 1,240 242 620 Utility issue fee (or reissue)
 143 440 243 220 Design issue fee
 144 600 244 300 Plant issue fee
 122 130 122 130 Petitions to the Commissioner
 123 50 123 50 Petitions related to provisional applications
 126 240 126 240 Submission of Information Disclosure Stmt
 581 40 581 40 Recording each patent assignment per property (times number of properties)
 146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))
 149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))
 179 710 279 355 Request for Continued Examination (RCE)
 169 900 169 900 Request for expedited examination of a design application

Fee Paid

40.

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40.

SUBMITTED BY

Name (Print/Type) Thomas F. Peterson Registration No. 24790 Telephone (312) 427-1300
 Signature Date 11/29/00

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